

## On Nonnil-Noetherian Rings

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### ABSTRACT

Let  $R$  be a commutative ring with 1 such that  $Nil(R)$  is a divided prime ideal of  $R$ . The purpose of this paper is to introduce a new class of rings that is closely related to the class of Noetherian rings. A ring  $R$  is called a *Nonnil-Noetherian ring* if every nonnil ideal of  $R$  is finitely generated. We show that many of the properties of Noetherian rings are also true for Nonnil-Noetherian rings; we use the idealization construction to give examples of Nonnil-Noetherian rings that are not Noetherian rings; we show that for each  $n \geq 1$ , there is a Nonnil-Noetherian ring with Krull dimension  $n$  which is not a Noetherian ring.

*Key Words:* Noetherian rings; Finitely generated ideals; Divided ideals; Phi-rings.

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## 1. INTRODUCTION

We assume throughout that all rings are commutative with  $1 \neq 0$ . Let  $R$  be a ring. Then  $T(R)$  denotes the total quotient ring of  $R$ ,  $Nil(R)$  denotes the set of nilpotent elements of  $R$ ,  $Z(R)$  denotes the set of zero-divisor elements of  $R$  and  $dim(R)$  denotes the Krull dimension of  $R$ . Recall from Dobbs (1976) and Badawi (1999b) that a prime ideal of  $R$  is called a *divided prime* if  $P \subset (x)$  for every  $x \in R \setminus P$ . In Badawi (1999a, 2000, 2001) the author paid attention to the class of rings  $\mathcal{H} = \{R : R \text{ is a commutative ring and } Nil(R) \text{ is a divided prime ideal}\}$ . A generalization of pseudo-valuation domains that was introduced by Hedstrom and Houston (1978) and a generalization of valuation domains (chained rings) to the context of rings in the class  $\mathcal{H}$  were established in Badawi (1999a, 2000, 2001). In this article, we give a generalization of Noetherian (commutative) rings to the context of rings that are in the class  $\mathcal{H}$ . An ideal  $I$  of a ring  $R$  is said to be a *nonnil ideal* if  $I \not\subset Nil(R)$ . Let  $R \in \mathcal{H}$ . We say that  $R$  is a *Nonnil-Noetherian ring* if each nonnil ideal of  $R$  is finitely generated. Recall from Badawi (1999a) that for a ring  $R \in \mathcal{H}$  with total quotient ring  $T(R)$ , let  $\phi : T(R) \rightarrow K := R_{Nil(R)}$  such that  $\phi(a/b) = a/b$  for every  $a \in R$  and  $b \in R \setminus Z(R)$ . Then  $\phi$  is a ring homomorphism from  $T(R)$  into  $K$ , and  $\phi$  restricted to  $R$  is also a ring homomorphism from  $R$  into  $K$  given by  $\phi(x) = x/1$  for every  $x \in R$ . We say that  $R$  is a *Nonnil-Noetherian  $\phi$ -ring* if each nonnil ideal of  $\phi(R)$  is a finitely generated ideal of  $\phi(R)$ .

In the first section of this paper, we show that many of the properties of Noetherian rings are also true for Nonnil-Noetherian rings. In the second section, we use the idealization construction as in Huckaba (1988, Chapter VI) to establish examples of Nonnil-Noetherian rings that are not Noetherian rings; we show that for each  $n \geq 1$ , there is a Nonnil-Noetherian ring with Krull dimension  $n$  which is not a Noetherian ring.

## 2. BASIC PROPERTIES OF NONNIL-NOETHERIAN RINGS

Throughout this section,  $\mathcal{H} = \{R : R \text{ is a commutative ring and } Nil(R) \text{ is a divided prime ideal}\}$ . For a ring  $R \in \mathcal{H}$  with total quotient ring  $T(R)$ , we define  $\phi : T(R) \rightarrow K := R_{Nil(R)}$  such that  $\phi(a/b) = a/b$  for every  $a \in R$  and every  $b \in R \setminus Z(R)$ . Then  $\phi$  is a ring homomorphism from  $T(R)$  into  $K$ , and  $\phi$  restricted to  $R$  is also a ring homomorphism from  $R$  into  $K$  given by  $\phi(x) = x/1$  for every  $x \in R$ .

We start this section with the following lemma.

**Lemma 2.1.** *Let  $R \in \mathcal{H}$ . Then  $R/Nil(R)$  is ring-isomorphic to  $\phi(R)/Nil(\phi(R))$ .*

*Proof.* Let  $\alpha: R \rightarrow \phi(R)$  such that  $\alpha(a) = \phi(a) + Nil(\phi(R))$  for every  $a \in R$ . It is clear that  $\alpha$  is a ring-homomorphism from  $R$  ONTO  $\phi(R)/Nil(\phi(R))$ . Now,  $Ker(\alpha) = Nil(R)$ . Hence,  $R/Nil(R)$  is ring-isomorphic to  $\phi(R)/Nil(\phi(R))$ .  $\square$

**Theorem 2.2.** *Let  $R \in \mathcal{H}$ . Then  $R$  is a Nonnil-Noetherian ring if and only if  $R/Nil(R)$  is a Noetherian domain.*

*Proof.* Suppose that  $R$  is a Nonnil-Noetherian ring. By Kaplansky (1974, Theorem 8), it suffices to show that every nonzero prime ideal of  $D = R/Nil(R)$  is finitely generated. Hence, let  $Q$  be a nonzero prime ideal of  $D = R/Nil(R)$ . Then  $Q = P/Nil(R)$  for some nonnil prime ideal  $P$  of  $R$ . Since  $P$  is finitely generated, it is clear that  $Q = P/Nil(R)$  is a finitely generated ideal of  $D$ . Thus,  $D$  is a Noetherian domain. Conversely, suppose that  $D = R/Nil(R)$  is a Noetherian domain. Let  $I$  be a nonnil ideal of  $R$ . Since  $Nil(R)$  is a divided ideal,  $Nil(R) \subset I$ . Hence,  $J = I/Nil(R)$  is a finitely generated ideal of  $D$ . Thus, say,  $J = (i_1 + Nil(R), \dots, i_n + Nil(R))$  for some  $i_m$ 's in  $I$ . Let  $x$  be a nonnilpotent element of  $I$ . Then  $x + Nil(R) = c_1 i_1 + \dots + c_n i_n + Nil(R)$  in  $D$  for some  $c_m$ 's in  $R$ . Hence, there is a  $w \in Nil(R)$  such that  $x + w = c_1 i_1 + \dots + c_n i_n$  in  $R$ . Since  $x \in I \setminus Nil(R)$ ,  $x \nmid w$  in  $R$ . Thus,  $w = xf$  for some  $f \in Nil(R)$ . Hence,  $x + w = x + xf = x(1 + f) = c_1 i_1 + \dots + c_n i_n$  in  $R$ . Since  $f \in Nil(R)$ ,  $1 + f$  is a unit of  $R$ . Thus,  $x \in (i_1, \dots, i_n)$ . Hence,  $I$  is a finitely generated ideal of  $R$ . Thus,  $R$  is a Nonnil-Noetherian ring.  $\square$

It is well-known (Kaplansky, 1974, Theorem 8) that if every prime ideal in a ring  $R$  is finitely generated, then  $R$  is Noetherian. In light of Theorem 2.2, we have the following similar result.

**Corollary 2.3.** *Let  $R \in \mathcal{H}$ . If every nonnil prime ideal of  $R$  is finitely generated, then  $R$  is a Nonnil-Noetherian ring.*

*Proof.* Suppose that every nonnil prime ideal of  $R$  is finitely generated. Then every (nonzero) prime ideal of  $D = R/Nil(R)$  is finitely generated. Hence,  $D$  is a Noetherian domain by Kaplansky (1974, Theorem 8). Thus,  $R$  is a Nonnil-Noetherian ring by Theorem 2.2.  $\square$

In light of Lemma 2.1 and Theorem 2.2 we have the following result.



**Theorem 2.4.** *Let  $R \in \mathcal{H}$ . The following statements are equivalent:*

1.  $R$  is a Nonnil-Noetherian ring.
2.  $R/Nil(R)$  is a Noetherian domain.
3.  $\phi(R)/Nil(\phi(R))$  is a Noetherian domain.
4.  $\phi(R)$  is a Nonnil-Noetherian ring.

*Proof.* **(1)  $\implies$  (2).** It is clear by Theorem 2.2. **(2)  $\implies$  (3).** It is clear by Lemma 2.1. **(3)  $\implies$  (4).** Since  $\phi(R) \in \mathcal{H}$ , the claim is clear by Theorem 2.2. **(4)  $\implies$  (1).** Since  $\phi(R) \in \mathcal{H}$  is a Nonnil-Noetherian ring,  $\phi(R)/Nil(\phi(R))$  is a Noetherian domain by Theorem 2.2. Hence,  $R/Nil(R)$  is a Noetherian domain by Lemma 2.1. Thus,  $R$  is a Nonnil-Noetherian ring by Theorem 2.2.  $\square$

In view of Theorem 2.4. We have the following result.

**Corollary 2.5.** *Let  $R \in \mathcal{H}$ . Then  $R$  is a Nonnil-Noetherian ring if and only if  $R$  is a Nonnil-Noetherian  $\phi$ -ring.*

*Proof.* Since  $R$  is a Nonnil-Noetherian ring iff  $\phi(R)$  is a Nonnil-Noetherian ring by Theorem 2.4, the claim is now clear.  $\square$

It is shown in Gilmer et al. (1999, Theorem 1.17) that if  $R$  is a reduced ring (i.e.,  $Nil(R) = \{0\}$ ) and each prime ideal of  $R$  has a power that is finitely generated, then  $R$  is a Noetherian ring. For a ring  $R \in \mathcal{H}$ , we have the following result.

**Theorem 2.6.** *Let  $R \in \mathcal{H}$ . Suppose that each nonnil prime ideal of  $R$  has a power that is finitely generated. Then  $R$  is a Nonnil-Noetherian ring.*

*Proof.* Let  $D = R/Nil(R)$ . Then  $D$  is an integral domain and hence a reduced ring. Since every prime ideal of  $D$  has the form  $P/Nil(R)$  for some prime ideal  $P$  of  $R$ , we conclude that each prime ideal of  $D$  has a power that is finitely generated. Thus,  $D$  is Noetherian by Gilmer et al. (1999, Theorem 1.17). Hence,  $R$  is a Nonnil-Noetherian ring by Theorem 2.2.  $\square$

**Theorem 2.7** *Let  $R \in \mathcal{H}$ . Suppose that  $R$  is a Nonnil-Noetherian ring. Then any localization of  $R$  is a Nonnil-Noetherian ring, and any localization of  $\phi(R)$  is a Nonnil-Noetherian ring.*

*Proof.* First, observe that any localization of  $R$  is an element of  $\mathcal{H}$ . Let  $S$  be a multiplicative subset of  $R$ , and suppose that  $J$  is a nonnil ideal of  $R_S$ .

Then  $J = I_S$  for some nonnil ideal  $I$  of  $R$ . Since  $I$  is finitely generated, we conclude that  $J = I_S$  is finitely generated. Now, since  $\phi(R)$  is a Nonnil-Noetherian ring by Theorem 2.4, by an argument similar to the one just given, we conclude that any localization of  $\phi(R)$  is a Nonnil-Noetherian ring.  $\square$

It is known that (Gilmer, 1992, problem 6, page 370) if  $R$  is Noetherian of finite Krull dimension  $n$ , then each overring of  $R$  has Krull dimension at most  $n$ . For a ring  $R \in \mathcal{H}$ , we have the following.

**Theorem 2.8.** *Let  $R \in \mathcal{H}$  be a Nonnil-Noetherian ring of finite Krull dimension  $n$ . Then each overring of  $R$  has Krull dimension at most  $n$ .*

*Proof.* Let  $D = R/Nil(R)$ . Then  $D$  is a Noetherian domain by Theorem 3.4. It is clear that  $dim(D) = n$ . Now, Let  $S$  be an overring of  $R$ . Since  $Nil(R)$  is a divided prime ideal of  $R$ , we have  $Nil(S) = Nil(R)$  is a prime ideal of  $S$ . Thus,  $S/Nil(R)$  is an overring of  $R/Nil(R)$ . Hence,  $S$  has Krull dimension at most  $n$  by Gilmer (1992, problem 6, page 370). Hence,  $S$  has Krull dimension at most  $n$ .  $\square$

It is known (Kaplansky, 1974, problem 1, page 52) that if  $R$  satisfies the ascending chain condition on finitely generated ideals, then  $R$  is Noetherian. We have the following similar result.

**Theorem 2.9.** *Let  $R \in \mathcal{H}$ . Suppose that  $R$  satisfies the ascending chain condition on the nonnil finitely generated ideals. Then  $R$  is a Nonnil-Noetherian ring.*

*Proof.* Let  $D = R/Nil(R)$ . Then  $D$  satisfies the ascending chain condition on the finitely generated ideals. Thus,  $D$  is a Noetherian domain by Kaplansky (1974, problem 1, page 6). Hence,  $R$  is a Nonnil-Noetherian ring by Theorem 3.4.  $\square$

It is known (Kaplansky, 1974, Theorem 144) that if  $P \subset Q$  are prime ideals in a Noetherian ring such that there exists a prime ideal properly between them, then there are infinitely many. For a ring  $R \in \mathcal{H}$  we have the following.

**Theorem 2.10.** *Let  $R \in \mathcal{H}$  be a Nonnil-Noetherian ring, and suppose that  $P \subset Q$  are prime ideals in  $R$  such that there exists a prime ideal properly between them. Then there are infinitely many.*



*Proof.* Let  $D = R/Nil(R)$ . Then  $D$  is a Noetherian domain by Theorem 2.2. Suppose that  $P \subset Q$  are prime ideals in  $R$  such that there exists a prime ideal  $F$  properly between them. Then the prime ideal  $F/Nil(R)$  of  $D$  is properly between the prime ideals  $P/Nil(R) \subset Q/Nil(R)$  of  $D$ . Hence, there are infinitely many prime ideals of  $D$  between  $P/Nil(R) \subset Q/Nil(R)$  by Kaplansky (1974, Theorem 144). Thus, there are infinitely many prime ideals of  $R$  between  $P \subset Q$ .  $\square$

Let  $R \in \mathcal{H}$ . Recall from Badawi (2001) that  $R$  is said to be a  $\phi$ -chained ring if for every  $x \in R_{Nil(R)} \setminus \phi(R)$ , we have  $x^{-1} \in \phi(R)$ ; equivalently, if for every  $a, b \in R \setminus Nil(R)$ , either  $a|b$  in  $R$  or  $b|a$  in  $R$ . It is known (Badawi, 2001) that a  $\phi$ -chained ring is quasilocal. The following result is a generalization of Gilmer (1992, Theorem 17.5(2)).

**Theorem 2.11.** *Let  $R \in \mathcal{H}$  be a  $\phi$ -chained ring with maximal ideal  $M \neq Nil(R)$ . Then  $R$  is a Nonnil-Noetherian ring if and only if  $R$  has Krull dimension 1 and  $M$  is a principal ideal of  $R$ .*

*Proof.* Let  $D = R/Nil(R)$ . Since  $R$  is a  $\phi$ -chained ring, it is easy to see that  $D$  is a valuation domain. Now, suppose that  $R$  is a Nonnil-Noetherian ring. Then  $D$  is a Noetherian domain by Theorem 2.2. Since  $D$  is a Noetherian domain and a valuation domain,  $D$  has Krull dimension 1 and  $N = M/Nil(R)$  the maximal ideal of  $D$  is a principal ideal by Gilmer (1992, Theorem 17.5(2)). Thus,  $R$  has Krull dimension 1. Since  $N = M/Nil(R)$  is a principal ideal of  $D$ ,  $N = (m + Nil(R))$  for some  $m \in M \setminus Nil(R)$ . We will show that  $M = (m)$ . Let  $x \in M \setminus Nil(R)$ . Then  $x + Nil(R) = mc + Nil(R)$  in  $D$  for some  $c \in R$ . Hence,  $x - mc = w \in Nil(R)$ . Since  $Nil(R)$  is divided in  $R$ , we conclude that  $x|w$  in  $R$ . Hence,  $w = xf$  for some  $f \in Nil(R)$ . Thus,  $x - xf = mc$ . Hence,  $x(1 - f) = mc$ . Since  $f \in Nil(R)$ ,  $1 + f$  is a unit of  $R$ . Thus,  $m|x$  in  $R$ . Hence,  $x \in (m)$ . Thus,  $M = (m)$ . Conversely, suppose that  $R$  has Krull dimension 1 and  $M$  is a principal ideal of  $R$ . Then  $D$  has Krull dimension 1 and  $M/Nil(R)$  the maximal ideal of  $D$  is a principal ideal of  $D$ . Thus,  $D$  is a Noetherian domain by Gilmer (1992, Theorem 17.5(2)). Hence,  $R$  is a Nonnil-Noetherian ring by Theorem 2.2.  $\square$

### 3. EXAMPLES OF NONNIL-NOETHERIAN RINGS

In this section, we show that for each  $n \geq 1$ , there is a Nonnil-Noetherian ring with Krull dimension  $n$  which is not a Noetherian ring.

Once again,  $\mathcal{H} = \{R: R \text{ is a commutative ring and } Nil(R) \text{ is a divided prime ideal}\}$ . Our non-domain examples of Nonnil-Noetherian rings are provided by the idealization construction  $R(+)B$  arising from a ring  $R$  and an  $R$ -module  $B$  as in Huckaba (1988, Chapter VI). We recall this construction. For a ring  $R$ , let  $B$  be an  $R$ -module. Consider  $R(+)B = \{(r, b): r \in R, \text{ and } b \in B\}$ , and let  $(r, b)$  and  $(s, c)$  be two elements of  $R(+)B$ . Define:

1.  $(r, b) = (s, c)$  if  $r = s$  and  $b = c$ .
2.  $(r, b) + (s, c) = (r + s, b + c)$ .
3.  $(r, b)(s, c) = (rs, bs + rc)$ .

Under these definitions  $R(+)B$  becomes a commutative ring with identity. We recall the following proposition.

**Proposition 3.1** (Huckaba, 1988, Theorem 25.1). *Let  $R$  be a ring,  $B$  be an  $R$ -module. Then The ideal  $J$  of  $R(+)B$  is prime if and only if  $J = P(+)B$  where  $P$  is a prime ideal of  $R$ . Hence,  $dim(R) = dim(R(+)B)$ .*

We start with the following lemma.

**Lemma 3.2.** *Let  $R$  be an integral domain,  $B$  be an  $R$ -module, and  $D = R(+)B$ . Then  $Nil(D)$  is a finitely generated ideal of  $D$  if and only if  $B$  is a finitely generated  $R$ -module.*

*Proof.* It is clear that  $Nil(D) = \{(0, b) : b \in B\}$ . Hence, suppose that  $Nil(D)$  is a finitely generated ideal of  $D$ . Then  $Nil(D) = ((0, b_1), \dots, (0, b_n))$ . Now, let  $b \in B$ . Then  $(0, b) = (a_1, c_1)(0, b_1) + \dots + (a_n, c_n)(0, b_n)$  for some  $(a_1, c_1), \dots, (a_n, c_n) \in D$ . Thus,  $b = a_1b_1 + \dots + a_nb_n$ . Hence,  $B$  is a finitely generated  $R$ -module. Conversely, suppose that  $B$  is a finitely generated  $R$ -module, say,  $B = (b_1, b_2, \dots, b_n)$ . Then it is easy to check that  $Nil(D) = ((0, b_1), (0, b_2), \dots, (0, b_n))$ . Hence,  $Nil(D)$  is a finitely generated ideal of  $D$ . □

Recall from Kaplansky (1974) that an integral domain  $R$  with quotient field  $K$  is called a  $G$ -domain if  $K$  is a finitely generated ring over  $R$ . We recall the following result.

**Proposition 3.3** (Kaplansky, 1974, Theorem 146). *A Noetherian domain  $R$  which is not a field is a  $G$ -domain if and only if  $dim(R) = 1$  and  $R$  has only a finite number of maximal ideals.*



In the following theorem, we show that there is a Nonnil-Noetherian ring with Krull dimension 1 that is not a Noetherian ring.

**Theorem 3.4.** *Let  $R$  be a Noetherian domain with quotient field  $K$  such that  $\dim(R)=1$  and  $R$  has infinitely many maximal ideals. Then  $D=R(+)K \in \mathcal{H}$  is a Nonnil-Noetherian ring with Krull dimension 1 which is not a Noetherian ring. In particular,  $Z(+)Q$  is a Nonnil-Noetherian ring with Krull dimension 1 which is not a Noetherian ring (where  $Z$  is the set of all integer numbers with quotient field  $Q$ ).*

*Proof.* By Proposition 3.1, we have  $\dim(D)=1$ . Since  $K$  is not finitely generated ring over  $R$  by Proposition 3.3, we conclude that  $K$  is not a finitely generated  $R$ -module. Hence,  $Nil(D)$  is not a finitely generated ideal of  $D$  by Lemma 3.2. Thus,  $D$  is not a Noetherian ring. By Proposition 3.1, we have  $Nil(D)=\{0\}(+)K$  is a prime ideal of  $D$ . To show that  $Nil(D)$  is divided: let  $(0,k) \in Nil(D)$ , and  $(a,c) \in D \setminus Nil(D)$ . Hence,  $a \neq 0$ . Thus,  $(0,k) = (a,c)(0,k/a)$ . Hence,  $Nil(D)$  is divided in  $D$ . Thus,  $D \in \mathcal{H}$ . Now, it is easy to see that  $D/Nil(D)$  is ring-isomorphic to  $R$ . Since  $R$  is Noetherian domain, we conclude that  $D/Nil(D)$  is a Noetherian domain. Hence,  $D$  is a Nonnil-Noetherian ring by Theorem 2.2.  $\square$

In the following result, we show that for each  $n \geq 2$ , there is a Nonnil-Noetherian ring with Krull dimension  $n$  which is not a Noetherian ring.

**Theorem 3.5.** *Let  $R$  be a Noetherian domain with quotient field  $K$  and Krull dimension  $n \geq 2$ . Then  $D=R(+)K \in \mathcal{H}$  is a Nonnil-Noetherian ring with Krull dimension  $n$  which is not a Noetherian ring. In particular, if  $K$  is the quotient field of  $R=Z[x_1, x_2, \dots, x_{n-1}]$ , then  $R(+)K$  is a Nonnil-Noetherian ring with Krull dimension  $n$  which is not a Noetherian ring.*

*Proof.* First, by Lemma 3.2 and Proposition 3.3,  $Nil(D)$  is not a finitely generated ideal of  $D$ . Hence,  $D$  is not a Noetherian ring. Now, use an argument similar to that one just given in the proof of Theorem 3.4 to complete the proof of this result.  $\square$

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